

2/52 #4  
8-5-02

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APPLICANT(S): Robert P. St. Pierre, et al.

ART UNIT: 2152

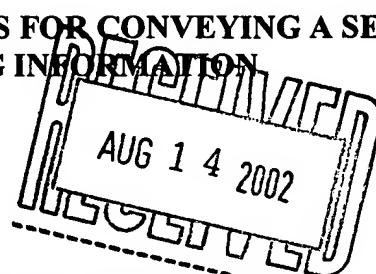
SERIAL NO.: 10/037,800

EXAMINER:

FILED: January 4, 2002

TITLE: METHOD AND APPARATUS FOR CONVEYING A SECURITY  
CONTEXT IN ADDRESSING INFORMATION

Assistant Commissioner for Patents  
Washington, DC 20231



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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[ XX ] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[ ] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [ ] the fee set forth in § 1.17(p); or
- [ ] the statement specified in § 1.97(e).

[ ] This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:

- (1) the statement specified in § 1.97(e); and
- (2) the fee set forth in § 1.17(p).

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 16159.035001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

Dated: 7/29/02  
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I certify that this document is being deposited on July 30,  
2002, with the U.S. Postal Service as first class mail under 37 CFR 1.8  
and is addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231

Brenda C. McFadden  
Brenda C. McFadden

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SUBSTITUTE FOR FORM 1449		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>		Application Number		10/037,800	
		Filing Date		January 4, 2002	
		First Named Inventor		Robert P. St. Pierre, et al	
		Group Art Unit		2152	
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	16159.035001; P6566

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U.S. PATENT DOCUMENTS							
		U.S. Patent Document					
Examiner initials	Cite No.	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document			Date of Publication of Cited Document MM-DD-YYYY

FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document					
Examiner initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document MM-DD-YYYY

OTHER DOCUMENTS							
Examiner initials	Cite No.	References					
	A1	GERMANO CARONNI, SANDEEP KUMAR, CHRISTOPH SCHUBA and GLENN SCOTT; "Virtual Enterprise Networks: The Next Generation of Secure Enterprise Networking;" ACSAC 2000; pp. 1-10; 16th Annual Computer Security Applications Conference; Sun Microsystems Laboratories					
	A2	RFC 1812 Requirements for IP Version 4 Routers; June 1995; pp. 1-15; <a href="http://sunsite.dk/RFC/rfc1812.html">http://sunsite.dk/RFC/rfc1812.html</a>					
	A3	S. DEERING and R. HINDEN: "Internet Protocol, Version 6 (IPv6) Specification;" December 1998; pp. 1-37; The Internet Society					
Examiner Signature				Date Considered			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.